Express Mail No.: <u>EL964765920US</u>

PATENT

H0005957-1170

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ly D. Nguyen et al.

Serial No.: Filed herewith Filing Date: Filed herewith

2.0

For: THERMALLY STABILIZED TURBINE

SCROLL RETENTION RING FOR UNIFORM LOADING APPLICATION

COMMENTARY RE INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR §1.56, and in recognition of his duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant identifies the prior art listed on the attached Information Disclosure Statement (Form PTO-1449). The statement is not a representation that all of the information cited is necessarily effective as prior art against the application. Only copies of non US patents and patent applications are enclosed, if any.

Applicant respectfully requests that the disclosed reference be made of record in the subject application.

Dated: November 12, 2003

Respectfully submitted,

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO		Complete if Known			
			Application Number	filed herewith	
INFORMATION DISCLOSURE			Filing Date	filed herewith	
STA	STATEMENT BY APPLICANT		First Named Inventor	Ly D. Nguyen et al.	
			Group Art Unit		
(use as many sheet as necessary)		Examiner Name			
Sheet	1	of 1	Attorney Docket Number	H0005957-1170	

				U.S. PATENT	DOCUMENTS	
		Doc	ument Number			Pages, Columns, Lines
Examiner Cite No. 1		Number – Kind Code ² (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
	1	US-	6,443,699 B1	09-03-2002	Mashey, T.C.	
	2	US-	5,494,138	02-27-1996	Scelsi, A., et al.	
	3	US-	4,425,078	01-10-1984	Robbins, D.A.	
	4	US-	2003/0122322 A1	07-03-2003	Tremoulet,JR. et al.	
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		Foreign Patent Document			Pages, Columns, Lines	
Examiner Initials*	Cite No. 1	Country Code ³ -Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Т6
	5	JP 10068330	03-10-1998	Tsukumi Shiyougo		
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Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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